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3 **Four-dimensional Vibrational Spectroscopy for Nanoscale Mapping of
4 Phonon Dispersion in BN Nanotubes**

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8 **Directly mapping local phonon dispersion in individual nanostructures can advance
9 our understanding of their thermal, optical, and mechanical properties. However,
10 this requires high detection sensitivity and combined spatial, energy and momentum
11 resolutions, thus has been elusive. Here, we demonstrate a four-dimensional electron
12 energy loss spectroscopy technique, and present position-dependent phonon
13 dispersion measurements in individual boron nitride nanotubes. By scanning the
14 electron beam in real space while monitoring both the energy loss and the
15 momentum transfer, we are able to reveal position- and momentum-dependent
16 lattice vibrations at nanometer scale. Our measurements show that the phonon
17 dispersion of multi-walled nanotubes is locally close to hexagonal-boron nitride
18 crystals. Interestingly, acoustic phonons are sensitive to defect scattering, while
19 optical modes are insensitive to small voids. This work not only provides insights
20 into vibrational properties of **boron nitride nanotubes**, but also demonstrates
21 **potential** of the developed technique in nanoscale phonon dispersion measurements.22**

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23 Introduction

24 Phonon plays a fundamental role in mechanical, optical and thermal properties of materials. There
25 has been significant interest in measuring phonon dispersion in the hope of gaining mechanistic
26 understandings and optimizing materials' properties in materials science and condensed matter
27 physics. However, such a measurement is very challenging for crystal defects and nanostructures,
28 where the tiny size requires high spatial resolution and high detection sensitivity. Although the tip
29 enhanced Raman spectroscopy and scanning near-field optical microscopy¹ can reach nanometer
30 spatial resolution, their momentum transfer is much smaller than the typical Brillouin zone (BZ) size
31 and thus inaccessible to the high momentum phonons. Other vibrational spectroscopy techniques
32 such as inelastic X-ray/neutron scattering are capable of measuring phonon dispersions for bulk
33 crystals, but the lack of spatial resolution (limited by their beam size and low sensitivity) results in
34 scattering signal averaged over large crystals or ensembles of nanostructures, hence precluding
35 phonon dispersion measurements in individual nanostructures^{2,3}.

36 Recent developments of aberration correctors and monochromators within in scanning transmission
37 electron microscopes (STEMs) have enabled kiloelectronvolt electron beams with sub-10 meV
38 energy resolution and atomic spatial resolution, extending electron energy loss spectroscopy (EELS)
39 measurements into lattice vibration properties in the past decade^{4, 5}. Many spatially resolved
40 measurements such as atomic-resolved phonon spectroscopy^{6, 7, 8, 9}, phonon polariton (PhP)
41 mapping^{10, 11, 12}, isotope identification¹³ and temperature measurement¹⁴ are now attainable. Recently,
42 momentum-resolved vibrational measurements of hexagonal-boron nitride (h-BN) and graphite
43 flakes using STEM-EELS have also been reported^{15, 16}. Although previous studies have demonstrated
44 high sensitivity and large momentum transfer range of this technique, they only focused on flakes
45 and two-dimensional (2D) sheets that are essentially homogeneous in space, and thus didn't take
46 advantage of the high spatial resolution of electron microscopes. Long acquisition time (up to ~10 h
47 for each dispersion diagram at a single spatial location) of the serial acquisition method¹⁵ also
48 precludes the possibility to perform a 2D scan or even a line scan. To date, nanoscale position-
49 dependent phonon dispersion measurement in a single nanostructure has not been reported to the best
50 of our knowledge.

51 Here, we report an efficient acquisition method for real-space mapping of phonon dispersions in a
52 single nanostructure. With a slot aperture, phonon dispersion data can be acquired in parallel within
53 much shorter acquisition time¹⁷. This allows us to add two spatial dimensions to this technique and
54 enables **four-dimensional EELS (4D-EELS)** measurements in a single nanostructure at nanometer
55 scale. As a model system, boron nitride nanotubes (BNNTs) have shown remarkable mechanical,
56 thermal and nano-optical properties that promise a wide range of applications, such as heat
57 conductors for nanoelectronics and low-loss polaritonic devices^{18, 19, 20, 21, 22, 23}. These properties are
58 dominated by lattice vibrations because the electronic contributions are nearly negligible due to a
59 large bandgap. The knowledge about their phonon properties are therefore highly desired. *Ab initio*
60 and zone-folding calculations have predicted an unfolded phonon dispersion of BNNTs similar to
61 that of two dimensional h-BN layers²⁴, but experimental verification has been absent. In addition, in
62 multi-walled BNNTs interlayer coupling usually lead to vacancies, faceting and change of stacking
63 order^{18, 25, 26, 27, 28}, whose impacts on phonon properties still await experimental exploration.
64 Unfortunately, traditional spectroscopy techniques cannot measure their phonon dispersion even for
65 ensembles of BNNTs, not to mention for individual ones. This is because their cylindrical shape
66 results in a crystal orientation varying with the azimuthal angle, which means broad-beam scattering
67 scheme will inevitably mix up scattering signals with momenta along all directions; non-uniform
68 chirality and diameter distribution among BNNTs will also mix up phonon signals from BNNTs with
69 different structures and/or orientations. With fine spatial resolution substantially smaller than the
70 tube diameter, the 4D-EELS technique is evidently ideal to probe their local vibration properties.

71 Our measurements reveal that the unfolded phonon dispersion of multiwalled BNNTs is very similar
72 to that of h-BN crystals, which indicates curved geometry of atom planes and interlayer coupling
73 have no substantial impact on the phonon dispersion. Real-space mapping of different phonon modes
74 at various momentum transfers shows that acoustic phonon modes in BNNTs are susceptible to
75 defect scattering, while high-frequency optical modes are less sensitive to small voids. These results
76 provide useful information about phonons and associated properties of BNNTs, and demonstrate new
77 possibilities in probing local phonon structures.

78 Results

79 **Phonon measurements in a STEM.** The experimental setup is illustrated in Fig. 1a. A
80 kiloelectronvolt electron beam is focused to nanometer-wide, with its spatial and energy resolutions
81 further refined by the aberration correctors and the monochromator. After scattering from the sample,
82 electrons pass through magnetic lenses to form diffraction spots on the diffraction plane, where an
83 EELS aperture is placed to select scattered electrons with particular momentum transfers. Previous
84 momentum-resolved EELS (M-EELS) studies collect phonon dispersion data serially by moving a
85 small round entrance aperture relative to the diffraction plane^{15, 16}. A more efficient way for M-EELS
86 data acquisition, which reduces the typical acquisition time for each dispersion diagram from several
87 hours to tens of minutes, is to use a slot aperture to select a narrow line on the diffraction plane^{29, 30},

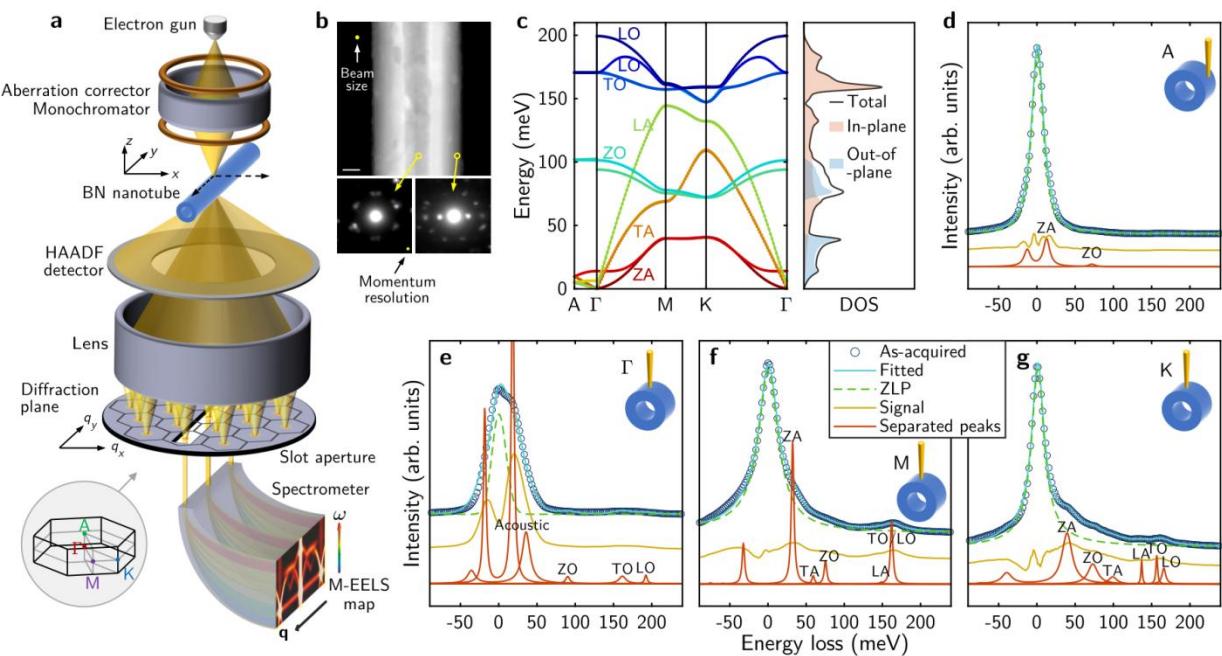


Figure 1 4D vibrational EELS in a STEM. **a**, Schematic of the experimental setup. The inset at the bottom shows high-symmetry points in the first BZ of h-BN. **b**, A typical HAADF image of a BNNT (top panel). Scale bar, 20 nm. Two bottom panels are electron diffraction patterns with the beam located at the center (left) and near the edge (right) of the tube. **c**, Phonon dispersion curve and phonon DOS of h-BN crystal calculated by DFPT. Light pink and light blue shadows are in-plane and out-of-plane contributions. **d-g**, Typical EEL spectra at high symmetry points A, Γ , M and K in the unfolded BZ of a BNNT. Dark-blue circles represent the raw spectra, to which solid cyan lines are fitted (Methods). Dashed green lines are quasi-elastic lines extracted from the fitting, which are subtracted from the measured spectra to get phonon signal (yellow lines). Red curves are separated multiple phonon peaks, the summation of which, once convoluted with the fitted quasi-elastic line, gives the least difference with the measured signal. For clarity lines are vertically shifted.

88 producing a 2D intensity map versus both energy and momentum transfer. This scheme is also
89 advantageous for data consistency among momentum points (avoiding beam instabilities over time)
90 and for a better momentum resolution with a much higher momentum sampling density. With the
91 beam scanning in two spatial dimensions, a 4D dataset is recorded. The sample morphology is also
92 recorded using high-angle scattered electrons at the same time, giving us information about the
93 microstructure of BNNTs. Top panel of Fig. 1b is a high-angle annular dark field (HAADF) image of
94 a typical BNNT, from which the inner and outer radius can be easily determined. From the electron
95 diffraction patterns shown in the bottom panel, its chirality can be determined close to zigzag. This
96 allows us to characterize the structure of individual BNNTs under investigation. Although the spatial
97 resolution and momentum resolution can be separately optimized to 0.1 nm and $< 0.1 \text{ \AA}^{-1}$ by
98 choosing a very large and a very small convergence semi-angle respectively, there is an intrinsic
99 tradeoff between them. Here, after balancing the spatial and momentum resolutions the typical beam
100 size is estimated to be $\sim 4 \text{ nm}$ while keeping a momentum resolution of $\sim 0.3 \text{ \AA}^{-1}$ (Supplementary Fig.
101 1).

102 Fig. 1d-g show typical EEL spectra at high-symmetry points of the unfolded BZ, A, Γ , M and K
103 respectively (see inset of Fig. 1a). Apart from a strong quasi-elastic line located at zero energy (zero
104 loss peak, ZLP), in the range from $\sim 10 \text{ meV}$ to 200 meV we observe multiple phonon modes with
105 their intensity and energy varying with the momentum transfer. Because of the worse energy
106 resolution and large variation of phonon peak energy and intensity in MEELS maps, removal of the
107 quasi-elastic line (background subtraction) is not as straightforward as in conventional vibrational
108 EELS. Here we develop a fitting method (Methods) to remove the quasi-elastic line (green dashed
109 lines in Fig. 1d-g) and simultaneously separate phonon peaks (red traces). At Γ point (Fig. 1e),
110 acoustic modes have small but non-zero frequencies due to their large group velocity and our finite
111 momentum resolution. The phonon occupation number diverges exponentially near zero frequency,
112 resulting in a very strong intensity for acoustic modes. Since they are close in energy, it's hard to
113 fully separate them and assign them to specific modes. Except these two peaks, all other phonon
114 peaks can be assigned to six types (ZA, TA, LA, ZO, TO and LO) by comparing with the calculated
115 phonon dispersion. Fig. 1c shows the density functional perturbation theory (DFPT) calculation of
116 phonon dispersion and phonon density of states (DOS) for a bulk h-BN crystal. Phonon peaks in the
117 experimental data matches reasonably well with the calculation.

118 Apart from the energy loss peaks, we also observe energy gain peaks on the left side of the ZLP.
119 This corresponds to an induced phonon absorption event of the electron beam³¹. Assuming detailed
120 balance, the intensity ratio between the energy gain peak and the energy loss peak is the Boltzmann
121 factor $\exp\left(-\frac{\hbar\omega}{k_B T}\right)$, where \hbar is the reduced Planck constant, ω is phonon frequency, k_B is the
122 Boltzmann constant and T denotes the temperature ($\sim 293 \text{ K}$ in our experiment)¹⁴. At room
123 temperature this is observable for low-frequency acoustic modes, but decays quickly with higher
124 energy and becomes undetectable for optical modes.

125 **4D-EELS in individual BNNTs.** To visualize spatial-dependent phonon dispersion in a single
126 BNNT, we performed two 4D-EELS measurements for a zigzag BNNT. Supplementary Fig. 2 shows
127 the HAADF image and electron diffraction patterns of the BNNT under investigation.
128 Supplementary Movie 1 and 2 show two 4D datasets acquired with the slot aperture placed in two
129 directions (schematically shown in Fig. 2a-c), in which the local phonon dispersion substantially
130 changes with position. In contrast, for a h-BN flake with uniform thickness, the phonon dispersion
131 remains the same while scanning on the sample (Supplementary Movie 3 and 4, and Supplementary
132 Fig. 3). As a concise summary of the 4D datasets, Fig. 2d-i show line profiles with the beam
133 scanning from the tube center (Fig. 2a,d,g) to the edge (Fig. 2c,f,i).

134 The position-dependent dispersion diagrams can be well captured by viewing the nanotube locally as
135 h-BN flakes rotated by a spatial-dependent tilt angle. When the beam passes through the center of the
136 BNNT (Fig. 2a,d,g), the configuration is similar to the case of two vertically stacked h-BN flakes,
137 with their relative rotation angle determined by the chiral angle of the tube. For zigzag BNNTs the
138 two flakes are rotationally aligned, so in-plane high-symmetry points Γ , M and K are well-defined
139 for scattered electrons. Measured phonon dispersion diagrams along Γ KMK Γ and Γ M Γ M Γ lines are
140 displayed in Fig. 2d and 2g, respectively. As a reference, we also performed measurements for a h-
141 BN flake under similar experimental conditions, with the results shown in Supplementary Fig. 4. As
142 expected, they share common overall features as a manifestation of their similar local structures. To
143 understand and corroborate these measured spectra, Fig. 2j-l display calculated EEL spectra for bulk
144 h-BN crystals along high-symmetry lines based on DFPT and scattering cross section formalism^{15, 32}
145 (Methods). Because the scattering cross section is proportional to the inner product between the
146 momentum transfer \mathbf{q} and the displacement vector of the k^{th} atom and λ^{th} mode $\mathbf{e}_\lambda(k, \mathbf{q})$, only modes
147 with non-zero vibration displacement along \mathbf{q} will be active in EELS measurements³². For h-BN
148 flakes with normal electron beam incidence, ZO and ZA modes are not active since they have
149 displacement vectors normal to atom planes, which are always perpendicular to the in-plane
150 momentum transfer \mathbf{q} . Nice agreement is found between the experiment and the calculated spectra.

151 At the tube edge (Fig. 2c,f,i), the geometry is similar to a h-BN flake tilted along an armchair
152 direction by 90°. Therefore, in Fig. 2f we get the out-of-plane dispersion along Γ A Γ A Γ line, where
153 the phonon dispersion is highly non-dispersive due to the weak van der Waals (vdW) interlayer
154 coupling.

155 Between the tube center and the tube edge, the electron beam passes through multiple shells with

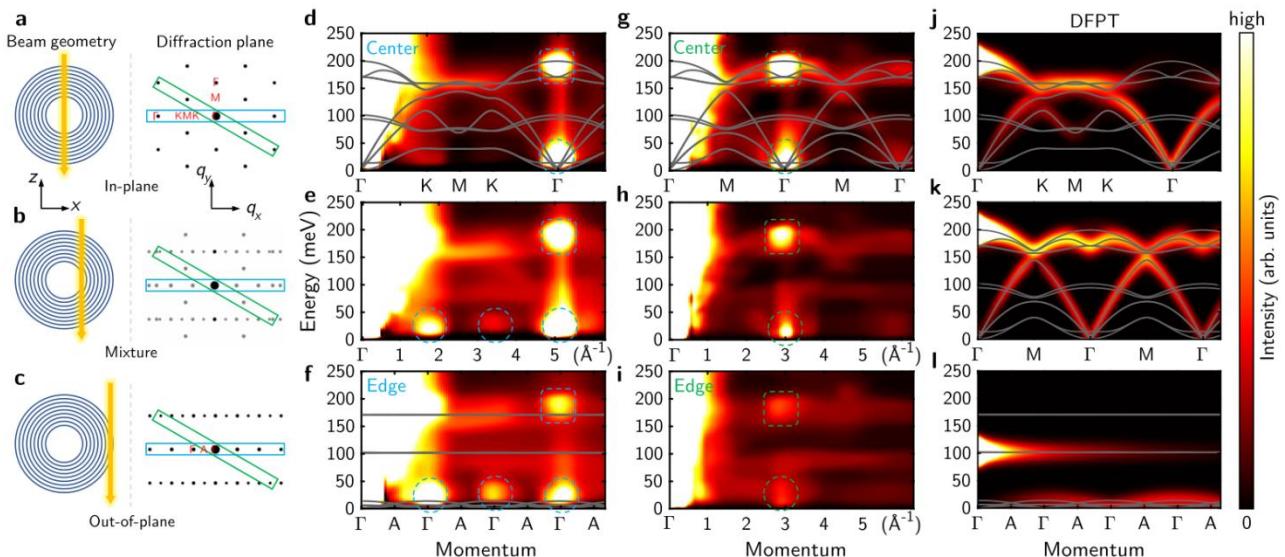


Figure 2 4D-EELS measurement of phonon dispersion in an individual zigzag BNNT. **a-c**, Schematic of the experimental geometry. Left panels illustrate the beam position (yellow arrows). Right panels show the diffraction plane. Blue and green rectangles illustrate the position of the slot aperture used in **d-f** (Supplementary Movie 1) and **g-i** (Supplementary Movie 2), respectively. The underlying black dot patterns are simulated electron diffraction patterns, in which the vertical direction is parallel to the tube axis. **d-f**, Phonon dispersion line profiles along a radius of the BNNT, acquired with the slot aperture placed along the blue rectangle in **a-c**. **d** and **f** correspond to the tube center and the edge respectively, and **e** is acquired between them. Dashed circles and squares mark bright spots from Bragg diffraction and multiple scattering processes. **g-i**, Same as **d-f**, except that the aperture is long the green rectangle in **a-c**. **j-l**, Calculated EELS intensity (statistical factor corrected) for a bulk h-BN crystal along high-symmetry lines Γ KMK Γ (**j**), Γ M Γ M Γ (**k**) and Γ A Γ A Γ (**l**). Gray curves are calculated dispersion for bulk h-BN

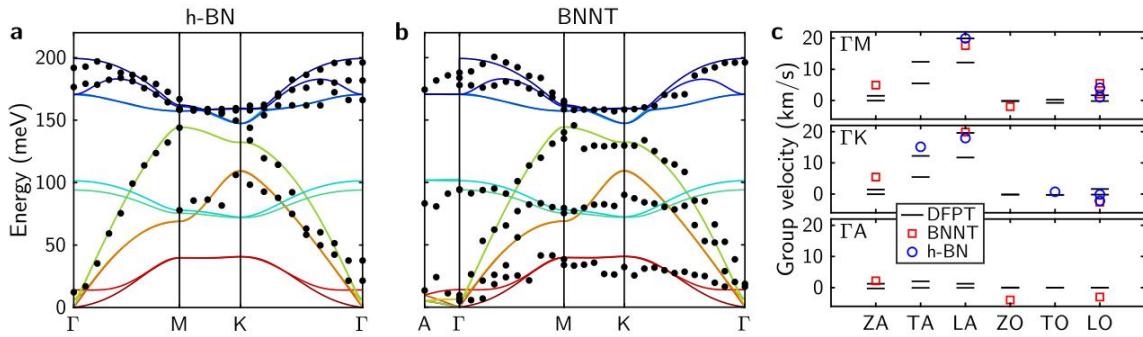


Figure 3 Phonon dispersion and group velocity. **a, b**, Phonon dispersion of the h-BN flake and the BNNT along high symmetry lines. Extracted energies are averaged among multiple BZs and shown as black dots. Solid curves are DFPT calculation for bulk h-BN crystals. **c**, Anisotropic phonon group velocity near Γ point. Red and blue scatters are extracted from the experimental data by fitting a quadratic function near the Γ point. Black lines are derivatives of the DFPT calculated dispersion.

156 different local crystal orientation (Fig. 2b,e,h). Due to the curved geometry, outer shells will have
 157 more in-plane contribution. Thus, the scattering signal is a mixture projected along the beam
 158 trajectory. Approaching the edge, the average tilt angle increases and the out-of-plane contribution
 159 becomes larger, so the dispersion gradually flattens, which is clearly observable in Supplementary
 160 Movie 1 and 2. We observe that the intensity of ZO and ZA modes increases from the tube center to
 161 the edge, due to an increasing inner product between \mathbf{q} and $\mathbf{e}_\lambda(k, \mathbf{q})$; meanwhile, the signal of in-
 162 plane modes becomes weaker. This is in qualitative agreement with scattering cross section theory.
 163 However, the ZO and ZA modes still have a small but non-zero intensity at the tube center, and the
 164 TO/LO mode also remains considerable intensity at the tube edge. This may due to the finite probe
 165 size and the curved geometry of atom shells, which slightly alters the vibration direction of each
 166 atom and leads to non-zero value of $\mathbf{q} \cdot \mathbf{e}_\lambda(k, \mathbf{q})$.

167 We noticed that the collected dispersion diagrams contain some bright spots (dashed circles and
 168 squares in Fig. 2d-i). They do not indicate abnormal behaviors of lattice vibrations at corresponding
 169 momenta; instead, they come from strong Bragg diffraction and associated multiple scattering
 170 processes (see Supplementary Fig. 2b-j for electron diffraction patterns). The enhancing of signal
 171 near TO/LO energy (dashed squares) is mainly from multiple scattering, such as one (or more)
 172 elastic scattering with momentum transfer equal to a reciprocal lattice vector followed by an inelastic
 173 phonon scattering with a negligible momentum transfer. At small \mathbf{q} , the phonon scattering cross
 174 section diverges as $1/q^2$ (Methods), and dipole scattering is also strong, so the collected signal at
 175 Bragg spots is stronger than nearby momenta. At low energy (dashed circles), apart from multiple
 176 scattering, a small residual of the strong elastic line during background subtraction may also
 177 introduce fictitious bright spots in the dispersion diagram.

178 By averaging among multiple BZs, we extract unfolded phonon dispersion of the BNNT and the h-
 179 BN flake from the measured spectra, as displayed in Fig. 3. They both match well with the DFPT
 180 calculation, and their difference is beyond our energy resolution. The similarity in phonon dispersion
 181 of h-BN crystals and BNNTs implies that effects of structural curvature and interlayer coupling on
 182 phonon dispersion are insignificant. First, phonon dispersion in vdW crystals is insensitive to the
 183 shape of the atom plane. In fact, the zone-folding method for numerical study of nanotubes simply
 184 constructs phonon dispersion (or band structure) of nanotubes from that of a corresponding sheet
 185 with a periodic boundary condition imposed^{24, 33}. The underlying assumption of this scheme is that
 186 the effect of shell curvature is negligible, and this is supported by our observation at least for BNNTs
 187 with a radius of several tens of nanometers. *Ab initio* calculations have also shown that this method
 188 works very well for single-walled BNNTs²⁴ and carbon nanotubes³⁴ with smaller radius. Second,

189 their phonon dispersion depends weakly on the vdW interlayer interaction. Due to inevitably
 190 incommensurate perimeters between neighboring shells, the chiral angle, stacking order and
 191 interlayer distance may vary^{25, 35, 36}, so the interlayer coupling in BNNTs can be different from that of
 192 a bulk h-BN crystal. Supplementary Fig. 5 compares calculated phonon dispersions for AA' and AB
 193 staking bulk crystals, whose similarity indicates weak vdW interlayer coupling does not substantially
 194 affect the phonon dispersion, in agreement with our measurements.

195 From the phonon dispersion data, we extract phonon group velocity near the Γ point along three
 196 directions (Fig. 3c). While two in-plane directions ΓM and ΓK share similar group velocities, in the
 197 out-of-plane direction the group velocity is almost an order of magnitude smaller. This suggests the
 198 thermal conductivity in BNNTs is highly anisotropic, with the radial component much smaller than
 199 that along the axis and the azimuthal direction.

200 **Momentum- and mode- dependent defect scattering.** Note that due to large strain inherent in their
 201 structure, defects are common in multiwalled BNNTs²⁵. With fine spatial resolution, we can actually
 202 map the intensity distribution of these phonon modes in real space and reveal the influence of defects.
 203 Phonon intensity maps for a high-quality h-BN flake (Supplementary Fig. 3) confirm that when
 204 defect scattering is absent, the phonon signals are homogeneous in space. Shown in Fig. 4a is a
 205 HAADF image of a BNNT with obvious structural irregularities. On the top-left corner, the red

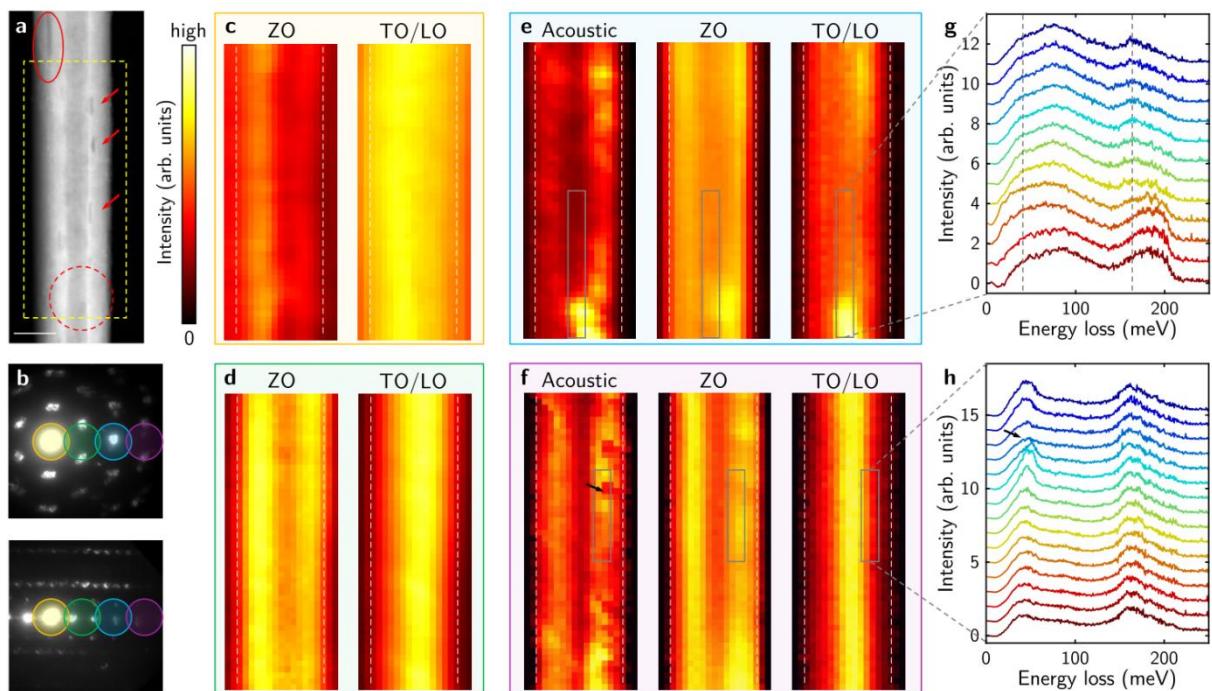


Figure 4 Real-space mapping of phonon signal at various momentum transfers. **a**, HAADF image of a near-zigzag BNNT, in which structural defects can be clearly observed. Yellow dashed box encircles the scanning region in **c-f**. Scale bar, 50 nm. **b**, Electron diffraction patterns taken near the center (top panel) and the edge (bottom panel) of the BNNT. Colored circles denote four selected momentum transfers that correspond to **c-f**. The diffraction peak with highest intensity (encircled by the yellow circle) is the central diffraction spot with zero momentum transfer. The momentum transfer increases with a step of $\sim 2.5 \text{ \AA}^{-1}$ from **c** to **f**. **c-f**, Intensity maps of the acoustic, ZO (possibly with some LA signal) and TO/LO phonon modes in real space. At small momentum transfers (**c** and **d**), the quasi-elastic line dominates the low-energy region, so the acoustic phonon signal is hard to extract. **g**, EELS line profile (after background-subtraction and statistical factor correction) along the gray rectangle in **e**. Two vertical dashed lines are a guide to the eye. **h**, EELS line profile along the gray rectangle in **f**. Black arrow marks the position of the void. In **g** and **h**, each line is successively shifted upward for clarity. For completeness, the raw spectra without background-subtraction are shown in Supplementary Fig. 7.

ellipse marks a dark line in the image that extends far beyond the top boundary of this figure, which corresponds to a gap between atom shells in this BNNT. Red arrows highlight voids in some constituting shells (see Supplementary Fig. 6 for the atomic structure of a typical void). We select four momentum transfers (illustrated in Fig. 4b) to spatially map the phonon signal. Starting from the central diffraction spot, the momentum increases with a step size of $\sim 2.5 \text{ \AA}^{-1}$ perpendicular to the tube axis. At (nearly) zero momentum transfer, the electron beam interacts with lattice vibration of BNNTs predominately through dipole scattering, which essentially yields PhP signals^{12, 37}. In Fig. 4c, the PhP signal extends outside the tube (outlined by white dashed lines), and is not very sensitive to small defects. This is because the long-range Coulomb interaction is on a length scale comparable to the tube radius, which smears out small local variations. However, since the PhPs only have very small momentum compared to the BZ size, the vibrational signal will be increasingly localized with larger momentum transfer. At the first BZ boundary (Fig. 4d) the spectra mainly contain impact scattering signal that is localized within the BNNT. The ZO mode is more intense near the edge, while TO/LO signal is stronger near the center, in agreement with the $\mathbf{q} \cdot \mathbf{e}_\lambda(k, \mathbf{q})$ dependence of the scattering cross section. At even higher momentum transfers, the quasi-elastic line is weaker so extracting acoustic phonon signal is possible. In Fig. 4e and 4f, the acoustic phonon maps show apparent correlation with the structural defects observed in the HAADF image, and the contrast is larger for higher momentum transfer. On the other hand, although optical branches are also slightly affected by the presence of voids, the contrast is much weaker. This is also confirmed by the EELS line profile shown in Fig. 4h across a void, where the acoustic phonon signal decreases significantly on the defect while the optical modes remain almost the same. Being sensitive to defect scattering, phonon intensity maps, especially acoustic ones, may be used to identify defects that are hard to visualize by conventional imaging techniques. This also means defects play an important role in thermal transport in BNNTs as the acoustic phonons contribute a vast majority of thermal conductivity because of their higher group velocities³⁸. The presence of defects will reduce their lifetimes and hence substantially reduce the thermal conductivity.

Interestingly, a strong hot spot appears at the bottom of these phonon intensity maps, while in this region no obvious contrast can be seen in the HAADF image (dashed circle in Fig. 4a). This defect shows largest contrast in phonon maps at medium momentum transfers (Fig. 4e), and unlike voids, it also considerably changes optical phonon signal. This is unexpected since optical phonons have been reported to be sensitive only to thickness but insensitive to small scale defects¹⁵. To further verify that this hot spot is not from artifacts such as diffraction effects or beam intensity fluctuation, Fig. 4g presents an EELS line profile across this defect region. Scattering intensity for both acoustic and TO/LO modes is significantly increased on this defect, accompanied by an energy shift. The center of the TO/LO peak blueshifts from ~ 165 meV to ~ 185 meV, while the acoustic peak slightly redshifts. Further theoretical and experimental studies are needed in future to fully understand these scattering behaviors, and to verify whether this is due to the emergence of a new defect mode, or a scattering intensity change caused by a local structure variation.

244 Discussion

245 We have experimentally measured local phonon dispersion relations in individual BNNTs to reveal
246 both position-dependent and momentum-dependent lattice vibration behaviors using the 4D-EELS
247 technique. We show that although the phonon dispersion in BNNTs is position-dependent, the main
248 features are similar to that of bulk h-BN crystals. Real-space mapping emphasizes the importance of
249 defect scattering for understanding acoustic phonons and related material properties such as thermal
250 transport. These conclusions should probably apply to many other similar nanostructures such as
251 carbon nanotubes. We have to admit, though, that more detailed measurements are still limited by the
252 energy resolution. Currently, the uncertainty of extracted dispersion curves mainly comes from (i)

253 non-ideal monochromaticity of the electron beam, which smears out spectrum details and mixes up
254 peaks close to each other; (ii) limited momentum resolution (especially when high spatial resolution
255 is required), which broadens phonon peaks, especially acoustic ones, due to a smearing in the
256 momentum space; and (iii) challenges in post-processing methods and data interpretation. Further
257 improvement will require efforts in both equipment hardware and algorithms. With higher resolution,
258 the small dispersion change associated with interlayer coupling and defects may become detectable,
259 then 4D-EELS could easily correlate phonon dispersion with small structural changes such as chiral
260 angle, local stacking order and tiny defects. Nevertheless, we anticipate that the demonstrated 4D-
261 EELS technique will surely find more applications in vibrational measurements of [many](#) other
262 interesting material systems, such as resolving defect phonon modes³⁹, interface phonon modes, and
263 even topological phonon surface/edge states⁴⁰.

264 Methods

265 **EELS data acquisition.** The EELS data was acquired on a Nion U-HERMES200 microscope
266 equipped with both a monochromator and aberration correctors, with ~12 pA beam current. A
267 relatively small beam convergence semi-angle of 1.5 mrad was used for a balanced momentum and
268 spatial resolution, and the collection angle of the slot aperture was 4.5 mrad \times 75 mrad. Two BNNT
269 4D datasets (Supplementary Movie 1 and 2) were acquired under 60 keV beam energy with a total
270 integration time of 36 min for each dataset (12 pixel \times 18 pixel \times 10 s). Under such conditions, the
271 typical spatial, momentum and energy resolutions are 4 nm (estimated by comparing the acquired
272 HAADF image to an ideal one), 0.3 Å⁻¹ ([along the slot](#), estimated by the diffraction spot size) and 15
273 meV (FWHM of the quasi-elastic line), respectively (see Supplementary Fig. 1). Two h-BN 4D
274 datasets (Supplementary Movie 3 and 4) were acquired under 30 keV beam energy with a total
275 integration time of 42 min for each dataset (25 pixel \times 10 pixel \times 10 s). Lower acceleration voltage
276 gives a better energy resolution, but sacrifices spatial resolution and penetration depth (and hence
277 lower signal for thick samples). This is suitable for data acquisition on h-BN flakes which does not
278 require a very high spatial resolution or penetration depth (due to smaller thickness). However, for
279 comparison the h-BN data was acquired again (Fig. 3a, Supplementary Fig. 4) under the same
280 experimental conditions as those used for BNNT. Supplementary Table 1 gives detailed
281 experimental parameters of these datasets.

282 **EELS data processing.** All acquired spectra were processed by custom-written Matlab code. For
283 each 4D-EELS dataset, EEL spectra were first registered by their normalized cross correlation to
284 correct possible beam energy drift and momentum distortion. After the alignment, we applied block-
285 matching and 3D filtering (BM3D) algorithm to remove Gaussian noise^{41, 42}. For each momentum
286 and each energy channel, the data is denoised in two spatial dimensions, where the noise level is
287 individually estimated based on high-frequency elements in the Fourier domain. A correction for the
288 statistical factor is performed following literature³¹.

289 **Quasi-elastic line fitting.** In vibrational EELS, the quasi-elastic line forms a strong ZLP in the
290 measured spectra, the removal of which is usually not straightforward. For M-EELS data the energy
291 loss peaks are at different energy for different momentum, making the commonly-used two-window
292 method hard to perform due to difficulties in finding a common energy window. Besides, acoustic
293 phonons at very low energy cannot be well separated from the rapidly-varying background by this
294 method. As shown in Fig. 1, here the quasi-elastic line is removed by least-square fitting the
295 measured spectrum (over the whole energy range, not only in some energy windows) to the
296 following fitting function

$$P'(\omega) + \sum_{\lambda} a_{\lambda} \left[L\left(\frac{\omega - \omega_{\lambda}}{c_{\lambda}}\right) + \exp\left(-\frac{\hbar\omega_{\lambda}}{k_B T}\right) L\left(\frac{\omega + \omega_{\lambda}}{c_{\lambda}}\right) \right] * P'(\omega) \quad (1)$$

297 where the first term is a modified Pearson-VII function^{8, 43} to account for the quasi-elastic line, and
 298 the second term is the contribution of multiple phonon modes in which $L(x)$ is the Lorentzian
 299 function. The asterisk symbol denotes convolution. The original Pearson-VII function is generally a
 300 Lorentzian raised by power m

$$P(\omega) = I_{\max} \frac{\gamma^{2m}}{\left[\gamma^2 + (2^{1/m} - 1)(2\omega - 2\omega_0)^2\right]^m} \quad (2)$$

301 in which I_{\max} , ω_0 and γ are the height, center and width of the Pearson peak. A modification (denoted
 302 by the prime symbol) is then made to account for possible ZLP asymmetry, where the constant width
 303 parameter γ is replaced by a function $\gamma(\omega) = \frac{2\gamma_0}{1 + \exp(s\omega)}$ containing an additional fitting parameter s .
 304 With these 5 fitting parameters, the ZLP can be fitted rather well. The inelastic scattering signal are
 305 modeled by paired Lorentzian peaks with central energy $\pm \omega_{\lambda}$, peak height a_{λ} and $a_{\lambda} \exp\left(-\frac{\hbar\omega_{\lambda}}{k_B T}\right)$,
 306 and peak width c_{λ} . These Lorentzian peaks are convoluted with the quasi-elastic line to account for
 307 the electron energy distribution. Each measured spectrum is fitted to the sum of these two
 308 contributions (Eq.1), and then the fitted ZLP is subtracted from the measured spectrum to get the
 309 signal. In the fitting, the calculated phonon energy was used as the initial guess. For most measured
 310 spectra, the fitting converges to the same minimum within a reasonable range of initial guess, and
 311 typical relative fitting residual is $\sim 5\%$ (mainly from the low-energy region). A small portion of mis-
 312 identified peaks are manually removed.

313 **DFPT calculation.** The DFPT calculation was performed using local density approximation (LDA)
 314 and norm-conserving pseudopotentials with a 770 eV energy cutoff.

315 The scattering cross-section for an infinite bulk crystal is^{11, 15, 32}

$$\frac{d^2\sigma}{d\omega d\Omega} \propto \sum_{\text{mode } \lambda} |F_{\lambda}(\mathbf{q})|^2 \left[\frac{n_{\mathbf{q}} + 1}{\omega_{\lambda}(\mathbf{q})} \delta(\omega - \omega_{\lambda}(\mathbf{q})) + \frac{n_{\mathbf{q}}}{\omega_{\lambda}(\mathbf{q})} \delta(\omega + \omega_{\lambda}(\mathbf{q})) \right] \quad (3)$$

316 where $\omega_{\lambda}(\mathbf{q})$ and $n_{\mathbf{q}}$ are the frequency and occupancy number of the λ^{th} phonon mode with
 317 wavevector \mathbf{q} . The two terms in the square brackets correspond to phonon emission and absorption
 318 process respectively. The coupling factor

$$F_{\lambda}(\mathbf{q}) \propto \frac{1}{q^2} \sum_{\text{atom } k} \frac{1}{\sqrt{M_k}} e^{-i\mathbf{q} \cdot \mathbf{r}_k} e^{-W_k(\mathbf{q})} Z_k(\mathbf{q}) [\mathbf{e}_{\lambda}(k, \mathbf{q}) \cdot \mathbf{q}] \quad (4)$$

319 is determined by the mass M_k , real-space position \mathbf{r}_k , effective charge $Z_k(\mathbf{q})$, Debye-Waller factor⁴⁴
 320 $e^{-2W_k(\mathbf{q})}$ and phonon displacement vector $\mathbf{e}_{\lambda}(k, \mathbf{q})$ of k^{th} atom in a unit cell. The effective charge
 321 $Z_k(\mathbf{q})$ was calculated using Eq.(9) in literature³² with atomic form factors of B and N constructed
 322 from parameters in literature⁴⁵. After correction for the statistical factor, the experimental diagram is
 323 essentially proportional to $\sum_{\lambda} |F_{\lambda}(\mathbf{q})|^2$, so the DFPT calculated diagrams in the main text also
 324 show this quantity (convoluted with a Gaussian to broaden the delta function for better visibility).

325 **Data availability**

326 The data that support the findings of this study are available from the corresponding author upon
327 request.

328 **Code availability**

329 A GUI version of the matlab code for 4D-EELS data processing is available from the corresponding
330 author upon request.

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432 **Author contributions**

433 R.Q. and N.L. contributed equally to this work. P.G., N.L. and R.Q. conceived the project. Y.H., Z.X.
434 and L.L. synthesized the samples. N.L. acquired the 4D-EELS data. R.Q. processed the experimental
435 data and performed DFPT calculations. R.S., N.L., J.D., X.Y., Q.D. and D.Y. helped with data
436 interpretation. R.Q., N.L. and P.G. finalized the manuscript. P.G. supervised the project. All authors
437 contributed to this work through useful discussion and/or comments to the manuscript.

438 **Competing interests**

439 The authors declare no competing interests.